

NEWCASTLE-UNDER-LYME BOROUGH COUNCIL

**EXECUTIVE MANAGEMENT TEAM'S REPORT TO THE
AUDIT & STANDARDS COMMITTEE**

25 April 2022

REPORT TITLE Corporate Risk Management Report – Follow-up

Submitted by: Executive Management Team

Portfolio: Finance, Town Centres and Growth

Ward(s) affected: All

Purpose of the Report

To provide Members with detail on the risks areas requested at the last Audit and Standards Committee meeting held on 7 February 2022.

Recommendation

The Committee is asked to:-

(a) Note the detail on the following risks in relation to Cyber Risk and Data Breach.

Reason

To provide Members with detail on a selected number of risks and or profiles, to enable greater understanding.

The risk management process adopted by the Council has been reviewed to incorporate changes in the way the Council works and to provide continuity and streamlined reporting of risks to allow the process to become further embedded at each level of the authority. This will also aid the identification of key risks that potentially threaten the delivery of the Council's corporate priorities. The Risk Management Strategy provides a formal and proportionate framework to manage these identified risks and thus reduce the Council's exposure.

1. Background

1.1 At the last Audit and Standards Committee meeting held on 7 February 2022, Members requested further details around Cyber Risk and Data Breach.

2. Issues

2.1 Question asked for sight of risks in relation to Cyber Risk and Data Breach.

3. Proposal

3.1 To note and accept Appendix A, which shows risks identified throughout the Council's risk portfolio – through identification in Corporate, Strategic or Operational risk profiles.

3.2 There are numerous pages where cyber or data breach has been identified, however the last 17 pages are the Managing Information Risk profile, which is taken to the Information Governance Group meetings.

4. **Reasons for Preferred Solution**

4.1 To offer a continual review process to minimise and mitigate risks.

5. **Options Considered**

5.1 To note Appendix A.

6. **Legal and Statutory Implications**

6.1 The Accounts and Audit (England) Regulations 2015, state that:

*“The relevant body **is** responsible for ensuring that it has a sound system of internal control which facilitates the effective exercise of its functions and the achievement of its aims and objectives; ensures that the financial and operational management of the authority is effective, and includes effective arrangements for the management of risk”.*

7. **Equality Impact Assessment**

7.1 There are no differential equality impact issues in relation to this report.

8. **Financial and Resource Implications**

8.1 None where actions are to be taken in order to mitigate the risks as these will be met from within existing budgets. Where this is not possible, further reports will be submitted to Members of relevant Committees.

9. **Major Risks**

9.1 Insufficient risk profiles may expose the council to non-compliance with its Legal and Statutory obligations.

10. **UN Sustainable Development Goals and Climate Change Implications**

10.1 Good risk management is a key part of the overall delivery of the Council’s four corporate priorities of; Local Services that Work for Local People, Growing our People and Places, a Healthy, Active and Safe Borough, a Town Centre for all. Officers assess sustainability and climate change implications as part of their local services.



11. **Key Decision Information**

11.1 This report is for information and there are no key decision requirements pertaining to the information contained within the report.

12. **Earlier Cabinet/Committee Resolutions**

12.1 None.

13. **List of Appendices**

13.1 Appendix A – Risks throughout the Council identified in relation to Cyber Risk and Data Breach.

14. **Background Papers**

14.1 None.